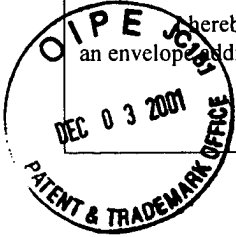


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CERTIFICATE OF MAIL



I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on **November 26, 2001**.

*Michele Liu*  
Michele Liu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: November 26, 2001

Jenkin A. RICHARD et al.

Serial No.: 09/916,624

Group Art Unit: 2121

Filed: July 27, 2001

Examiner: To Be Assigned

For: OPTICAL ALIGNMENT ELEMENT METHOD

Assistant Commissioner of Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Please find enclosed the following:

- 1) Transmittal Letter (original and one copy)
- 2) Information Disclosure Statement
- 3) Form PTO-1449
- 4) (11) Cited References
- 5) Postcard

The Commissioner is hereby authorized to charge any fees or credit any overpayment associated with this communication to Deposit Account 02-2120 (Sawyer Law Group LLP).

Respectfully submitted,

November 26, 2001  
Date

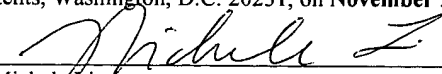
*Michele Liu*  
Michele Liu  
Attorney for Applicant  
Reg. No. 44,875  
(650) 493-4540

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Technology Center 2100

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Michele Liu



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Assistant Commissioner of Patents  
Washington, D.C. 20231

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INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §1.97

Technology Center 2100

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record. This Information Disclosure Statement is being submitted:

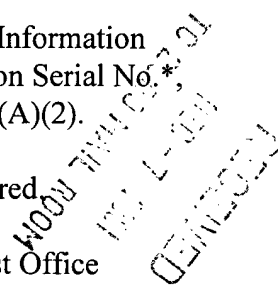
With copies.

Without copies. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial No. filed \*. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609 (A)(2).

With the application; accordingly, no fee or separate requirements are required.

Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

The documents listed on the attached Form PTO-1449 were cited in a Search Report



directed to a counterpart international or foreign application.

\_\_\_ After receipt of a first Office Action on the merits before mailing of a final Office Action or Notice of Allowance.

\_\_\_ A fee is required. A check in the amount of \$\* is enclosed.

\_\_\_ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

\_\_\_ A Certification under 37 C.F.R. §1.97(e) is provided below; accordingly; no fee is believed to be due.

\_\_\_ I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

**-OR-**

\_\_\_ I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

\_\_\_ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, and a Certification under 37 C.F.R. §1.97(e) is provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

November 26, 2001  
Date

  
Michele Liu  
Attorney for Applicant  
Reg. No. 44,875  
(650) 493-4540

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